

# How to compute the rank of a Delaunay polytope

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## Abstract

Roughly speaking, the rank of a Delaunay polytope (first introduced in [2]) is its number of degrees of freedom. In [3], a method for computing the rank of a Delaunay polytope  $P$  using the hypermetrics related to  $P$  is given. Here a simpler more efficient method, which uses affine dependencies instead of hypermetrics is given. This method is applied to classical Delaunay polytopes.

Then, we give an example of a Delaunay polytope, which does not have any affine basis.

## 1 Introduction

A lattice  $L$  is a set of the form  $v_1\mathbb{Z} + \dots + v_n\mathbb{Z} \subset \mathbb{R}^n$ . A *Delaunay polytope*  $P$  is inscribed into an *empty sphere*  $S$  such that no point of  $L$  is inside  $S$  and the vertex-set of  $P$  is  $L \cap S$ . The Delaunay polytopes of  $L$  form a partition of  $\mathbb{R}^n$ .

The vertex-set  $V = V(P)$  of a Delaunay polytope  $P$  is the support of a distance space  $(V, d_P)$ . For  $u, v \in V(P)$ , the distance  $d_P(u, v) = \|u - v\|^2$  is the *Euclidean norm* of the vector  $u - v$ . A *distance vector*  $(d(v, v'))$  with  $v, v' \in V$  is called a *hypermetric* on the set  $V$  if it satisfies  $d(v, v') = d(v', v)$ ,  $d(v, v) = 0$  and the following *hypermetric inequalities*:

$$H(b)d = \sum_{v, v' \in V} b_v b_{v'} d(v, v') \leq 0 \text{ for any } b = (b_v) \in \mathbb{Z}^V \text{ with } \sum_{v \in V} b_v = 1. \quad (1)$$

The set of distance vectors, satisfying (1) is called the *hypermetric cone* and denoted by  $HYP(V)$ .

The distance  $d_P$  is a hypermetric, i.e., it belongs to the hypermetric cone  $HYP(V)$ . The *rank* of  $P$  is the dimension of the minimal by inclusion face  $F_P$  of  $HYP(V)$  which contains  $d_P$ .

It is shown in [3] that  $d_P$  determines uniquely the Delaunay polytope  $P$ . When we move  $d_P$  inside  $F_P$ , the Delaunay polytope  $P$  changes, while its affine type remain the same. In other words, like the rank of  $P$ , the affine type of  $P$  is an invariant of the face  $F_P$ .

The above movement of  $d_P$  inside  $F_P$  corresponds to a perturbation of each basis of  $L$ , and, therefore, of each Gram matrix (i.e., each quadratic form) related to  $L$ . In this paper, we show that there is a one-to-one correspondence between the space spanned by  $F_P$  and the space  $\mathcal{B}(P)$  spanned by the set of perturbed quadratic forms. Hence, those two spaces have the same

dimension. It is shown here, that if one knows the coordinates of vertices of  $P$  in a basis, then it is simpler to compute  $\dim(\mathcal{B}(P))$  than  $\dim(F_P)$ . This fact is illustrated by computations of ranks of cross polytope and half-cube.

In the last section, we describe a non-basic repartitioning Delaunay polytope recently discovered by the first author.

## 2 Equalities of negative type and hypermetric

A sphere  $S = S(c, r)$  of radius  $r$  and center  $c$  in an  $n$ -dimensional lattice  $L$  is said to be an *empty sphere* if the following two conditions hold:

- (i)  $\|a - c\|^2 \geq r^2$  for all  $a \in L$ ,
- (ii) the set  $S \cap L$  contains  $n + 1$  affinely independent points.

A Delaunay polytope  $P$  in a lattice  $L$  is a polytope, whose vertex-set is  $L \cap S(c, r)$  with  $S(c, r)$  an empty sphere.

Denote by  $L(P)$  the lattice generated by  $P$ . In this paper, we can suppose that  $P$  is *generating* in  $L$ , i.e., that  $L = L(P)$ . A subset  $V \subseteq V(P)$  is said to be  $\mathbb{K}$ -*generating*, with  $\mathbb{K}$  being a ring, if every vertex  $w \in V(P)$  has a representation  $w = \sum_{v \in V} z(v)v$  with  $1 = \sum_{v \in V} z(v)$  and  $z(v) \in \mathbb{K}$ . If  $|V| = n + 1$ , then  $V$  is called an  $\mathbb{K}$ -*affine basis*; the Delaunay polytope  $P$  is called  $\mathbb{K}$ -*basic* if it admits at least one  $\mathbb{K}$ -affine basis. In this work  $\mathbb{K}$  will be  $\mathbb{Z}$ ,  $\mathbb{Q}$  or  $\mathbb{R}$  and if the ring is not precised, it is  $\mathbb{Z}$ . Furthermore, let

$$Y(P) = \{y \in \mathbb{Z}^{V(P)} : \sum_{v \in V(P)} y(v)v = 0, \sum_{v \in V(P)} y(v) = 0\} \quad (2)$$

be the  $\mathbb{Z}$ -module of all integral dependencies on  $V(P)$ . If the Delaunay polytope  $P$  is a simplex, then  $Y(P) = \{0\}$ .

A dependency on  $V(P)$  implies some dependencies between distances  $d_P(u, v)$  as follows. Let  $c$  be the center of the empty sphere  $S$  circumscribing  $P$ . Then all vectors  $v - c$ ,  $v \in V(P)$ , have the same norm  $\|v - c\|^2 = r^2$ , where  $r$  is the radius of the sphere  $S$ . Hence,

$$d_P(u, v) = \|u - v\|^2 = \|u - c - (v - c)\|^2 = 2(r^2 - \langle u - c, v - c \rangle). \quad (3)$$

Multiplying this equality by  $y(v)$  and summing over  $v \in V(P)$ , we get

$$\sum_{v \in V(P)} y(v)d_P(u, v) = 2r^2 \sum_{v \in V(P)} y(v) - 2\langle u - c, \sum_{v \in V(P)} y(v)(v - c) \rangle.$$

Since  $y \in Y(P)$ , we obtain the following important equality

$$\sum_{v \in V(P)} y(v)d_P(u, v) = 0, \text{ for any } u \in V(P) \text{ and } y \in Y(P). \quad (4)$$

Denote by  $\mathcal{S}_{dist}(P)$  the system of equations (4) for all integral dependencies  $y \in Y(P)$  and all  $u \in V(P)$ , where the distances  $d_P(u, v)$  are considered as unknowns.

Multiplying the equality (4) by  $y(u)$  and summing over all  $u \in V(P)$ , we obtain

$$\sum_{u, v \in V(P)} y(u)y(v)d_P(u, v) = 0. \quad (5)$$

This equality is called an equality of *negative type* and the system of such equality is denoted  $\mathcal{S}_{neg}(P)$ . Hence, the equalities of  $\mathcal{S}_{neg}(P)$  are implied by the one of  $\mathcal{S}_{dist}(P)$ .

Each integral dependency  $y \in Y(P)$  determines the following representation of a vertex  $w \in V(P)$  as an integer combination of vertices from  $V(P)$ :

$$w = w + \sum_{v \in V(P)} y(v)v = \sum_{v \in V(P)} y^w(v)v,$$

where

$$y^w(v) = \begin{cases} y(v) & \text{if } v \neq w, \\ y(w) + 1 & \text{if } v = w \end{cases} \quad \text{and} \quad \sum_{v \in V(P)} y^w(v) = 1.$$

Let  $\delta_w$  be the indicator function of  $V(P)$ :  $\delta_w(v) = 0$  if  $v \neq w$ , and  $\delta_w(w) = 1$ . Obviously,  $\delta_w$  is  $y^w$  for the trivial representation  $w = w$ . We have  $y^w = y + \delta_w$ . Conversely, every representation  $w = \sum_{v \in V(P)} y^w(v)v$  provides the dependency  $y = y^w - \delta_w \in Y(P)$ . Substituting  $y = y^w - \delta_w$  in (5), we obtain the following equality

$$\sum_{u, v \in V(P)} y(u)y(v)d_P(u, v) = \sum_{u, v \in V(P)} y^w(u)y^w(v)d_P(u, v) - 2 \sum_{v \in V(P)} y^w(v)d_P(w, v).$$

Since  $d_P(w, w) = 0$ , we can set  $y^w = y$  in the last sum. For any  $w \in V(P)$ , we use this equality in the following form using equations (4) and (5)

$$\sum_{u, v \in V(P)} y^w(u)y^w(v)d_P(u, v) = \sum_{u, v \in V(P)} y(u)y(v)d_P(u, v) + 2 \sum_{v \in V(P)} y(v)d_P(w, v) = 0. \quad (6)$$

The equality

$$\sum_{u, v \in V(P)} z(u)z(v)d_P(u, v) = 0, \quad \text{where} \quad \sum_{v \in V(P)} z(v) = 1, \quad z(v) \in \mathbb{Z},$$

is the hypermetric equality. Denote by  $\mathcal{S}_{hyp}(P)$  the system of all hypermetric equalities which hold for  $d_P(u, v)$ , considering the distances  $d_P(u, v)$  as unknowns.

In [3], the following lemma is proved. For the sake of completeness, we give its short proof.

**Lemma 1** *Let  $P$  be a Delaunay polytope with vertex-set  $V(P)$ . Let  $y^w \in \mathbb{Z}^{V(P)}$ , such that  $\sum_{v \in V(P)} y^w(v) = 1$ . Then the following assertions are equivalent*

- (i) *a vertex  $w \in V(P)$  has the representation  $w = \sum_{v \in V(P)} y^w(v)v$ ;*

(ii) the distance  $d_P$  satisfies the hypermetric equality  $\sum_{u,v \in V(P)} y^w(u)y^w(v)d_P(u,v) = 0$ .

**Proof.** (i) $\Rightarrow$ (ii) Obviously,  $y = y^w - \delta_w$ , is a dependency, i.e.  $y \in Y(P)$ . Hence, this implication follows from the equalities (6), (4) and (5).

(ii) $\Rightarrow$ (i) Substituting the expression (3) for  $d_P$  in the hypermetric equality of (ii) we obtain the equality

$$2r^2 - 2\left\| \sum_{v \in V(P)} y^w(v)(v - c) \right\|^2 = 0.$$

Obviously,  $\sum_{v \in V(P)} y^w(v)c = c$  and  $\sum_{v \in V(P)} y^w(v)v$  is a point of  $L(P)$ . Denote this point by  $w$ . Then the above equality takes the form  $\|w - c\|^2 = r^2$ . Hence,  $w$  lies on the empty sphere circumscribing  $P$ . Therefore,  $w \in V(P)$  and (i) follows.  $\square$

According to Lemma 1, each hypermetric equality of the system  $\mathcal{S}_{hyp}(P)$  corresponds to a representation  $y^w$  of a vertex  $w \in V(P)$ . Since the relation  $y = y^w - \delta_w$  gives a one-to-one correspondence between dependencies on  $V(P)$  and non-trivial representations  $y^w$  of vertices  $w \in V(P)$ , we can prove the following assertion:

**Lemma 2** *The systems of equations  $\mathcal{S}_{dist}(P)$  and  $\mathcal{S}_{hyp}(P)$  are equivalent, i.e., their solution sets coincide.*

**Proof.** The equality (6) shows that each equation of the system  $\mathcal{S}_{hyp}(P)$  is implied by equations of the system  $\mathcal{S}_{dist}(P)$ .

Now, we show the converse implication. Suppose the unknowns  $d(u,v)$  satisfy all hypermetric equalities of the system  $\mathcal{S}_{hyp}(P)$ . The equality (6) implies the equality

$$2 \sum_{v \in V(P)} y(v)d(w,v) = - \sum_{u,v \in V(P)} y(u)y(v)d(u,v),$$

where  $y = y^w - \delta_w$ . This shows that, for the dependency  $y$  on  $V(P)$ ,  $\sum_{v \in V(P)} y(v)d(w,v)$  does not depend on  $w$ ; denote it by  $A(y)$ . Hence, we have

$$-2A(y) = \sum_{u,v \in V(P)} y(u)y(v)d(u,v) = A(y) \sum_{u \in V(P)} y(u).$$

According to equation (2), the last sum equals zero. This implies the equalities (5) and hence the equalities of the system  $\mathcal{S}_{dist}(P)$ .  $\square$

Obviously, the space determined by the system  $\mathcal{S}_{hyp}(P)$  (and also of the system  $\mathcal{S}_{dist}(P)$ ) is a subspace  $X(P)$  of the space spanned by all distances  $d(u,v)$ ,  $u, v \in V(P)$ . The dimension of  $X(P)$  is the rank of  $P$ . According to Lemma 2, in order to compute the rank of  $P$ , we can use only equations of the system  $\mathcal{S}_{dist}(P)$ .

Let  $V_0 = \{v_0, v_1, \dots, v_n\}$  be an  $\mathbb{R}$ -affine basis of  $P$ . Then each vertex  $w \in V(P)$  has a unique representation through vertices of  $V_0$  as follows

$$w = \sum_{v \in V_0} x(v)v, \quad \sum_{v \in V_0} x(v) = 1, \quad x(v) \in \mathbb{R}.$$

Since the vertices of  $P$  are points of a lattice, in fact,  $x(v) \in \mathbb{Q}$ . Hence, the above equation can be rewritten as an integer dependency

$$y_w(w)w + \sum_{v \in V_0} y_w(v)v = 0, \quad y_w(w) + \sum_{v \in V_0} y_w(v) = 0, \quad \text{with } y_w(v) \in \mathbb{Z}.$$

One sets  $y_w(u) = 0$  for  $u \in V(P) - (V_0 \cup \{w\})$  and gets  $y_w \in Y(P)$ . Any dependency  $y \in Y(P)$  is a rational combination of dependencies  $y_w$ ,  $w \in V(P) - V_0$ . Hence, the following equality holds:

$$\beta y = \sum_{w \in V(P) - V_0} \beta_w y_w, \quad \text{with } \beta_w \in \mathbb{Z} \text{ and } 0 < \beta \in \mathbb{Z}$$

Since the equalities (4) are linear over  $y \in Y(P)$ , the dependencies  $y_w$ ,  $w \in V(P) - V_0$  provide the following system, which is equivalent to  $\mathcal{S}_{dist}(P)$

$$y_w(w)d_P(u, w) + \sum_{v \in V_0} y_w(v)d_P(u, v) = 0, \quad \text{with } u \in V(P) \text{ and } w \in V(P) - V_0. \quad (7)$$

We see that, for  $u \in V(P) - V_0$ , the distance  $d_P(u, w)$ ,  $w \in V(P) - V_0$ , is also expressed through distances between  $u$  and  $v \in V_0$ . But for  $u \in V_0$ , the distance  $d_P(u, w)$  is expressed through distances between  $u, v \in V_0$ . This implies that the distance  $d_P(u, w)$  for  $u, w \in V(P) - V_0$  can be also represented through distances  $d_P(u, v)$  for  $u, v \in V_0$ . Hence, the dimension of  $X(P)$  does not exceed  $\frac{n(n+1)}{2}$ , where  $n+1 = |V_0|$ , which is the dimension of the space of distances between the vertices of  $V_0$ .

In order to obtain dependencies between  $d_P(u, v)$  for  $u, v \in V_0$ , we use equation (7) for  $u = w$ . Since  $d_P(w, w) = 0$ , we obtain the equations

$$\sum_{v \in V_0} y_w(v)d_P(v, w) = 0, \quad w \in V(P) - V_0.$$

Multiplying the above equation by  $y_w(w)$  and using equation (7), we obtain

$$0 = \sum_{u \in V_0} y_w(u)(y_w(w)d_P(u, w)) = - \sum_{u \in V_0} y_w(u) \sum_{v \in V_0} y_w(v)d_P(u, v).$$

So, we obtain the following main equations for dependencies between  $d_P(u, v)$  for  $u, v \in V_0$

$$\sum_{u, v \in V_0} y_w(u)y_w(v)d_P(u, v) = 0, \quad w \in V(P) - V_0. \quad (8)$$

Note, that if  $V_0$  is an affine basis of  $L(P)$ , then one can set  $y_w(w) = -1$ . In this case, the equation  $y_w(w) + \sum_{v \in V_0} y_w(v) = 0$  takes the form  $\sum_{v \in V_0} y_w(v) = 1$ . This implies that the above equations are hypermetric equalities for a  $\mathbb{Z}$ -basic Delaunay polytope  $P$ . If  $P$  is  $\mathbb{Z}$ -basic, then the distance  $d_P$  restricted to the set  $V_0$  lies on the face of the cone  $HYP(V_0)$  determined by the hypermetric equalities (8). But if  $P$  is not  $\mathbb{Z}$ -basic, then the equations (8) are not hypermetric, and the distance  $d_P$  restricted on the set  $V_0$  lies inside the cone  $HYP(V_0)$ . On the other hand,

the distance  $d_P$  on the whole set  $V(P)$  lies on the boundary of the cone  $HYP(V(P))$ . This implies that, in this case, the rank of  $d_P$  restricted to  $V_0$  is greater than the rank of  $d_P$  on  $V(P)$ .

This can be explained as follows. We can consider the cone  $HYP(V_0)$  as a projection of  $HYP(V(P))$  on a face of the positive orthant  $\mathbb{R}_+^N$ , where  $N = |V(P)|$ . This face is determined by the equations  $d(u, v) = 0$  for  $v \in V(P) - V_0$  or/and  $u \in V(P) - V_0$ . By this projection, the distance  $d_P$ , lying on the boundary of the cone  $HYP(V(P))$ , is projected into the interior of the cone  $HYP(V_0)$ . This hypermetric space corresponds to a wall of an  $L$ -type domain, which lies inside the cone  $HYP(V_0)$ .

But, in order to compute the rank of  $P$ , it is sufficient to find the dimension of the space determined by the system (8).

### 3 Dependencies between lattice vectors

Now we go from affine realizations to linear realizations. Take  $v_0 \in V_0$  as origin of the lattice  $L(P)$  and choose the lattice vectors  $a_i = a(v_i) = v_i - v_0$ ,  $1 \leq i \leq n$  such that  $\{a_i : 1 \leq i \leq n\}$  forms a  $\mathbb{Q}$ -basis of  $L(P)$ . If  $P$  is basic, we can choose  $v_i$  such that  $\{a_i : 1 \leq i \leq n\}$  is a  $\mathbb{Z}$ -basis of  $L(P)$ . Using the expressions  $d_P(v_i, v_j) = \|a_i - a_j\|^2$ , it is easy to verify that there is the following relation between distances  $d_P(u, v)$ ,  $u, v \in V_0$ , and inner products  $\langle a_i, a_j \rangle$ :

$$d_P(v_i, v_0) = \|a_i\|^2, \quad d_P(v_i, v_j) = \|a_i\|^2 - 2\langle a_i, a_j \rangle + \|a_j\|^2.$$

And conversely,

$$\|a_i\|^2 = d_P(v_i, v_0), \quad \langle a_i, a_j \rangle = \frac{1}{2}(d_P(v_i, v_0) + d_P(v_j, v_0) - d_P(v_i, v_j)).$$

This shows that there is a one-to-one correspondence between the set of distances  $d_P(v_i, v_j)$ ,  $0 \leq i < j \leq n$ , and the set of inner products  $\langle a_i, a_j \rangle$ ,  $1 \leq i \leq j \leq n$ .

We substitute the above expressions for  $d_P(v_i, v_j)$ ,  $0 \leq i, j \leq n$ , into the equations (8), where we set  $y_w(i) = y_w(v_i)$ , and use the equality  $\sum_{i=0}^n y_w(i) = -y_w(w)$ . We obtain the following important equations

$$-y_w(w) \sum_{i=1}^n y_w(i) \|a_i\|^2 = \left\| \sum_{i=1}^n y_w(i) a_i \right\|^2, \quad w \in V(P) - V_0. \quad (9)$$

We can obtain the equation (9) directly, as follows. For  $v \in V(P)$ , the vector  $a(v) = v - v_0$  is a lattice vector of  $L(P)$ . For  $y \in Y(P)$ , we have obviously  $\sum_{v \in V(P)} y(v) a(v) = 0$ . In particular, for  $y = y_w$ , this equation has the form

$$y_w(w) a(w) + \sum_{i=1}^n y_w(i) a_i = 0$$

and allows to represent the vectors  $a(w)$  in the  $\mathbb{Q}$ -basis  $\{a_i : 1 \leq i \leq n\}$ .

Recall that the lattice vector  $a(w)$  of each vertex  $w \in V(P)$  of a Delaunay polytope  $P$  satisfies the equation  $\|a(w) - c\|^2 = r^2$ . Since  $v_0 \in V$ ,  $a(v_0) = 0$ , which implies  $\|c\|^2 =$

$\|0-c\|^2 = r^2$ . The vertex-set of  $P$  provides the following system of equations  $\|a(w)-c\|^2 = \|c\|^2$ ,  $w \in V(P)$ , i.e.,

$$2\langle c, a(w) \rangle = \|a(w)\|^2, \quad w \in V(P). \quad (10)$$

Since  $y_w(w)a(w) = -\sum_{i=1}^n y_w(i)a_i$ , the above equations take the form

$$-y_w(w) \sum_{i=1}^n y_w(i)2\langle c, a_i \rangle = \left\| \sum_{i=1}^n y_w(i)a_i \right\|^2. \quad (11)$$

Recall that  $a_i = a(v_i)$ . Hence, the vertices  $v_i$  give  $2\langle c, a_i \rangle = \|a_i\|^2$ , and the above equation takes the form of equation (9).

We will use the equations (9) mainly for basic Delaunay polytopes. In this case, we can set  $y_w(w) = -1$ , and  $a_i = b_i$ ,  $1 \leq i \leq n$ , where  $B = \{b_i : 1 \leq i \leq n\}$  is the basis of  $L(P)$  consisting of lattice vectors of the basic Delaunay polytope  $P$ .

For a given  $\mathbb{Q}$ -affine basis  $V_0 \subseteq V(P)$  of a Delaunay polytope  $P$ , the set of affine dependencies  $\{y_w \in Y(P) : w \in V(P) - V_0\}$  is uniquely determined up to integral multipliers and form a  $\mathbb{Q}$ -basis of the  $\mathbb{Z}$ -module  $Y(P)$ . This implies that the equations (9) determine a subspace

$$\mathcal{A}(P) = \{a_{ij} : -y_w(w) \sum_{i=1}^n y_w(i)a_{ii} = \sum_{1 \leq i, j \leq n} y_w(i)y_w(j)a_{ij}, \quad y_w \in Y(P), w \in V(P) - V_0\}$$

in the  $\frac{n(n+1)}{2}$ -dimensional space of all symmetric  $n \times n$ -matrices  $a_{ij} = a_{ji}$ ,  $1 \leq i < j \leq n$ ,

Since there is a one-to-one correspondence between distances  $d(v_i, v_j)$ ,  $0 \leq i < j \leq n$ , and inner products  $a_{ij} = \langle a_i, a_j \rangle$ ,  $1 \leq i \leq j \leq n$ , the dimension of the subspace  $\mathcal{A}(P)$  is equal to the rank of  $P$ . So, in order to compute the rank of  $P$ , we have to find the dimension of  $\mathcal{A}(P)$ .

## 4 The space $\mathcal{B}(P)$ and our computational method

Fix a basis  $B = \{b_i : 1 \leq i \leq n\}$  of the lattice  $L$ . Every lattice vector  $a(v)$ ,  $v \in V(P)$ , has a unique representation  $a(v) = \sum_{i=1}^n z_i(v)b_i$ . Define  $\mathcal{Z}_B(P) = \{z_i(v) : 1 \leq i \leq n, v \in V(P)\}$ .

Recall that the cone  $\mathcal{P}_n$  of all positive semi-definite forms on  $n$  variables is partitioned into  $L$ -type domains. Each  $L$ -type domain is an open polyhedral cone of dimension  $k$ , where  $1 \leq k \leq \frac{n(n+1)}{2}$ . It consists of form having affinely equivalent partitions into Delaunay polytopes, i.e. *Delaunay partitions*. More exactly, an  $L$ -type domain is the set of quadratic forms  $f(x) = \left\| \sum_{i=1}^n x_i b_i \right\|^2$  having the same set of matrices  $\mathcal{Z}_B(P)$  for all non-isomorphic Delaunay polytopes  $P$  of its Delaunay partition. So, this set is not changed when the basis  $B$  changes such that the form  $f(x)$  belongs to the same  $L$ -type domain. In other words,  $\mathcal{Z}_B(P)$  is an invariant of this  $L$ -type domain.

We set  $z_{ij} = z_i(v_j)$  for  $v_j \in V_0 - \{v_0\}$ ,  $1 \leq j \leq n$ . The matrix  $Z_B = (z_{ij})_1^n$  is non-degenerate and gives a correspondence between the linear bases of  $P$  and bases of  $L(P)$ . In particular, this correspondence maps the space  $\mathcal{A}(P)$  in the space  $\mathcal{B}(P)$  of matrices  $b_{ij} = \langle b_i, b_j \rangle$  of the quadratic form  $f(x)$ . If  $P$  is basic and  $b_i = a_i$ ,  $1 \leq i \leq n$ , then  $Z_B$  is the identity matrix  $I$ , and  $\mathcal{A}(P) = \mathcal{B}(P)$ .

Substituting in the equations (10) the above representations of the vectors  $a(v)$ ,  $v \in V(P)$ , in the basis  $B$ , we obtain explicit equations, determining the space  $\mathcal{B}(P)$ . In fact, we have

$$2 \sum_{i=1}^n z_i(v) \langle c, b_i \rangle = \sum_{1 \leq i, j \leq n} z_i(v) z_j(v) b_{ij}, \quad v \in V(P). \quad (12)$$

We have the following  $\frac{n(n+1)}{2} + n = \frac{n(n+3)}{2}$  parameters in the equations (12):

$$b_{ij} = \langle b_i, b_j \rangle, \quad 1 \leq i \leq j \leq n, \quad \text{and} \quad \langle c, b_i \rangle, \quad 1 \leq i \leq n,$$

Hence, all these parameters can be represented through a number of independent parameters. This number is just the rank of  $P$ . Recall that a Delaunay polytope is called *extreme* if  $\text{rk}(P) = 1$ . Hence, in order to be extreme, a Delaunay polytope should have at least  $\frac{n(n+3)}{2}$  vertices.

Note that, for  $v = v_0$ , the equation (12) is an identity, since  $a(v_0) = 0$  and therefore  $z_i(v_0) = 0$  for all  $i$ . So, we have  $|V(P)| - 1$  equations (12). For  $v = v_i$ ,  $1 \leq i \leq n$ , one gets  $n$  equations that give a representation of the parameters  $\langle c, b_i \rangle$ ,  $1 \leq i \leq n$  in terms of the parameters  $\langle b_i, b_j \rangle$ ,  $1 \leq i \leq j \leq n$ . Hence, the equations (12), for  $v \in V(P) - V_0$ , allow to find dependencies between the main parameters  $\langle b_i, b_j \rangle$ . Now, we write out explicitly dependencies between  $\langle b_i, b_j \rangle$ .

Since the basic vectors  $b_i \in B$  are mutually independent, a dependency  $\sum_{v \in V} y(v) a(v) = 0$  implies the dependencies  $\sum_{v \in V} y(v) z_i(v) = 0$  between the coordinates  $z_i(v)$ ,  $1 \leq i \leq n$ .

Multiplying equation (12) by  $y(v)$ , and summing over all  $v \in V(P)$ , we obtain that the  $\mathbb{Z}$ -module  $Y(P)$  determines the following subspace of the space of parameters  $b_{ij} = \langle b_i, b_j \rangle$ :

$$\mathcal{B}(P) = \{b_{ij} : \sum_{i,j=1}^n (\sum_{v \in V} y(v) z_i(v) z_j(v)) b_{ij} = 0, \quad y \in Y(P)\}.$$

In the Delaunay partition of the lattice  $L(P)$ , there are infinitely many Delaunay polytopes equivalent to  $P$ . Each of them has the form  $a \pm P$ , where  $a = \sum_{i=1}^n z_i^a b_i$  is an arbitrary lattice vector of  $L(P)$ . Now, we show that the space  $\mathcal{B}(P)$  is independent on a representative of  $P$  in  $L(P)$ , i.e., that  $\mathcal{B}(P) = \mathcal{B}(a \pm P)$ .

Let  $v_a = a \pm v$  be the vertex of the polytope  $a \pm P$  corresponding to a vertex  $v$  of  $P$ . Obviously,  $z_i(v_a) = z_i^a \pm z_i(v)$ . Substituting these values of  $z_i(v_a)$  into the equations determining  $\mathcal{B}(a \pm P)$ , we obtain

$$\sum_{v_a} y(v_a) z_i(v_a) z_j(v_a) = \sum_{v \in V(P)} y(v) (z_i^a z_j^a \pm z_i^a z_j(v) \pm z_i(v) z_j(v)).$$

Since  $y$  is a dependency between vertices of  $P$ , the sums with  $z_i^a$  equal zero. This shows that  $\mathcal{B}(P)$  does not depend on a representative of  $P$ .

Since the equalities determining the space  $\mathcal{B}(P)$  are linear in  $y$ , we can consider these equalities only for basic dependencies  $y_w$ ,  $w \in V(P) - V_0$ . We obtain the following main system of equations describing dependencies between the parameters  $b_{ij}$ :

$$\sum_{i,j=1}^n (\sum_{v \in V} y_w(v) z_i(v) z_j(v)) b_{ij} = 0, \quad w \in V(P) - V_0. \quad (13)$$



A unimodular transformation maps a basis of  $L(P)$  into another basis. This transformation generates a transformation which maps the space  $\mathcal{B}(P)$  into another space related to  $P$ . The dimension of the space  $\mathcal{B}(P)$  is an invariant of the lattice  $L(P)$  generated by  $P$ .

In [1], a *non-rigidity degree* of a lattice was defined. In terms of this paper, the non-rigidity degree of a lattice  $L$  is the dimension of the intersection of spaces  $\mathcal{B}(P)$  related to all non-isomorphic Delaunay polytopes of a star of Delaunay polytopes of  $L$ . Hence,

$$\text{nrd}(L) = \dim(\cap_P \mathcal{B}(P)).$$

In fact, the space  $\cap_P \mathcal{B}(P)$  is the supporting space of the  $L$ -type domain of the lattice  $L$ .

## 5 Centrally symmetric construction

In many cases, the computation of the rank of a Delaunay polytope  $P$  using the equations (12) is easier than by using the hypermetric equalities generated by  $P$ . We demonstrate this by giving a simpler proof of Lemma 15.3.7 of [3]. Recall that a Delaunay polytope is either centrally symmetric or asymmetric. Let  $c$  be the center of the empty sphere circumscribing  $P$ . For any  $v \in V(P)$ , the point  $v^* = 2c - v$  is centrally symmetric to  $v$ . If  $P$  is centrally symmetric, then  $v^* \in V(P)$  for all  $v \in V(P)$ . If  $P$  is asymmetric, then  $v^* \notin V(P)$  for all  $v \in V(P)$ .

**Lemma 3** *Let  $P$  be an  $n$ -dimensional basic centrally symmetric Delaunay polytope of a lattice  $L$  with the following properties:*

1. *The origin  $0 \in V(P)$  and the vectors  $e_i$ ,  $1 \leq i \leq n$ , are basic vectors of  $L$ , whose endpoints are vertices of  $P$ .*
2. *The intersection  $P_1 = P \cap H$  of  $P$  with the hyperplane  $H$  generated by the vectors  $e_i$ ,  $1 \leq i \leq n-1$ , is an asymmetric Delaunay polytope of the lattice  $L_1 = L \cap H$ .*
3. *If the endpoint  $v_n$  of the basic vector  $e_n$  is  $v^*$  for some  $v \in V(P_1)$ , then there is a vertex  $u \in V(P)$  such that  $u \neq v, v^*$  for all  $v \in V(P_1)$ .*

Then  $\text{rk}(P) \leq \text{rk}(P_1)$ .

**Proof.** It is sufficient to prove that the  $n$  parameters  $\langle e_i, e_n \rangle$ ,  $1 \leq i \leq n$ , can be expressed through the parameters  $a_{ij} = \langle e_i, e_j \rangle$ ,  $1 \leq i \leq j \leq n-1$ .

Let  $c$  be the center of  $P$ . Obviously,  $2c = 0^* \in V(P)$ . Since  $P_1$  is asymmetric,  $2c \notin L_1$ . It is easy to see that  $2c = a_0 + ze_n$ , with  $a_0 = \sum_{i=1}^{n-1} y_i e_i \in L_1$  and  $0 \neq z \in \mathbb{Z}$ . Hence, the equation  $2\langle c, e_i \rangle = \|e_i\|^2$  takes the form  $\langle a_0 + ze_n, e_i \rangle = \|e_i\|^2$ , and the parameters  $\langle e_i, e_n \rangle$  are represented through the parameters  $\langle e_i, e_j \rangle$  as follows

$$\langle e_i, e_n \rangle = \frac{1}{z}(\|e_i\|^2 - \langle a_0, e_i \rangle), \quad 1 \leq i \leq n-1.$$

Now, using the equation  $2\langle c, e_n \rangle = \|e_n\|^2$ , we obtain  $\langle a_0 + ze_n, e_n \rangle = \|e_n\|^2$ , i.e.,  $\|e_n\|^2(1 - z) = \langle a_0, e_n \rangle = \sum_{i=1}^{n-1} y_i \langle e_i, e_n \rangle$ . Hence, if  $z \neq 1$ , we can represent  $\|e_n\|^2$  through  $\langle e_i, e_j \rangle$ ,

$1 \leq i \leq j \leq n-1$ , too. But if  $z = 1$ , then the endpoint  $v_n$  of  $e_n$  belongs to  $(V(P_1))^*$ . In this case there is a vertex  $u$  such that  $u = \sum_{i=1}^n z_i e_i = u_0 + z_n e_n$ , where  $u_0 \in L_1$  and  $z_n \neq 0, 1$ . Using the equation  $2\langle c, u \rangle = \|u\|^2$ , where now  $2c = a_0 + e_n$ , we have  $\langle a_0 + e_n, u_0 + z_n e_n \rangle = \|u_0 + z_n e_n\|^2$ . This equation gives

$$\|e_n\|^2 = \frac{1}{z_n(z_n - 1)} [\langle a_0 - u_0, u_0 \rangle + \langle z_n a_0 + (1 - 2z_n)u_0, e_n \rangle].$$

The strict inequality  $\text{rk}(P) < \text{rk}(P_1)$  is possible if some vertices of the set  $V(P) - V(P_1)$  provide additional relations between the parameters  $\langle e_i, e_j \rangle$ ,  $1 \leq i \leq j \leq n-1$ .  $\square$

Examples, where  $\text{rk}(P) < \text{rk}(P_1)$ , can be given by some extreme Delaunay polytopes.

**Corollary 1** *Let  $P$  be a basic centrally symmetric Delaunay polytope satisfying the conditions of Lemma 3.  $P$  is extreme if  $P_1$  is extreme.*

## 6 Computing the rank of simplexes, cross-polytopes and half-cubes

**Simplexes.** Let  $\Sigma$  be an  $n$ -dimensional simplex with vertices  $0, v_i, 1 \leq i \leq n$ . The vertex  $v_i$  is the end-point of the basic vector  $e_i, 1 \leq i \leq n$ . We have only  $n$  equations  $2\langle c, e_i \rangle = \|e_i\|^2$  determining only the coordinates of the center  $c$  of  $\Sigma$  in the basis  $\{e_i : 1 \leq i \leq n\}$ . Since there is no relation between the  $\frac{n(n+1)}{2}$  parameters  $\langle e_i, e_j \rangle = a_{ij}$ , all these parameters are independent. Hence,

$$\dim(\mathcal{B}(\Sigma)) = \frac{n(n+1)}{2}, \text{ i.e., } \text{rk}(\Sigma) = \frac{n(n+1)}{2}.$$

**Cross-polytopes.** An  $n$ -dimensional cross-polytope  $\beta_n$  is a basic centrally symmetric Delaunay polytope. It is the convex hull of  $2n$  end-points of  $n$  linearly independent segments intersecting in the center  $c$  of the circumscribing sphere. The set  $V(\beta_n)$  is partitioned into two mutually centrally symmetric  $n$ -subsets each of which is the vertex-set of an  $(n-1)$ -dimensional simplex  $\Sigma$ . So,  $V(\beta_n) = V(\Sigma) \cup V(\Sigma^*)$ . Let  $V(\Sigma) = \{0, v_i : 1 \leq i \leq n-1\}$ . All  $\mathbb{Z}$ -affine bases of  $\beta_n$  are of the same type:  $n-1$  basic vectors  $e_i, 1 \leq i \leq n-1$ , with end vertices  $v_i$ , are basic vectors of the simplex  $\Sigma$ , and  $e_n = 2c$ , which is the segment which connects the vertex  $0$  with its opposite vertex  $0^*$ . Let  $a_i$  be the lattice vector endpoint of which is the vertex  $v_i^* \in \Sigma^*$ . Obviously,  $a_i = 2c - e_i = e_n - e_i$ . The equality  $2\langle c, a_i \rangle = \|a_i\|^2$  gives  $\langle e_i, e_n \rangle = \|e_i\|^2, 1 \leq i \leq n-1$ . So, we obtain  $n-1$  independent relations between the parameters  $\langle e_i, e_j \rangle$ , and they are the only relations. Hence,

$$\text{rk}(\beta_n) = \frac{n(n+1)}{2} - (n-1).$$

(Cf., the first formula on p.232 of [3].)

**Half-cubes.** Take  $N = \{1, 2, \dots, n\}$ , a basis  $(e_i)_{i \in N}$  and defines  $e(T) = \sum_{i \in T} e_i$  for any  $T \subseteq N$ . Call a set  $T \subseteq N$  *even* if its cardinality  $|T|$  is even. A half-cube  $h\gamma_n$  is the convex hull

of endpoints of all vectors  $e(T)$  for all even  $T \subseteq N$ . Note that  $h\gamma_3$  is a simplex, and  $h\gamma_4$  is the cross-polytope  $\beta_4$ . Hence,

$$\text{rk}(h\gamma_3) = \frac{3(3+1)}{2} = 6, \text{ and } \text{rk}(h\gamma_4) = \frac{4(4+1)}{2} - 3 = 7.$$

The rank of  $h\gamma_n$  is computed from the following system of equations:

$$2\langle c, e(T) \rangle = \|e(T)\|^2, \quad T \subseteq N, \quad T \text{ is even.} \quad (14)$$

Let  $T_1$  and  $T_2$  be two disjoint even subsets of  $N$ . Since the set  $T = T_1 \cup T_2$  is even, we have

$$2\langle c, e(T_1 \cup T_2) \rangle = 2\langle c, e(T_1) + e(T_2) \rangle = \|e(T_1) + e(T_2)\|^2 = \|e(T_1)\|^2 + \|e(T_2)\|^2 + 2\langle e(T_1), e(T_2) \rangle.$$

Comparing this equation with the equations (14) for  $T = T_1$  and  $T = T_2$ , we obtain that for any two disjoint even subsets the following *orthogonality conditions* hold:

$$\langle e(T_1), e(T_2) \rangle = 0, \text{ if } T_1 \cap T_2 = \emptyset, \quad T_i \subset N, \text{ and } T_i \text{ is even, } \quad i = 1, 2.$$

Note that, for  $n = 3$ , we have no orthogonality condition. If  $n \geq 4$ , take 4 elements  $i, j, k$  and  $l$  and write three equalities corresponding to three partitions:

$$\langle e_i + e_j, e_k + e_l \rangle = 0, \quad \langle e_i + e_k, e_j + e_l \rangle = 0, \quad \langle e_i + e_l, e_j + e_k \rangle = 0.$$

It is easy to verify that these equalities are equivalent to the following three equalities

$$\langle e_i, e_j \rangle + \langle e_k, e_l \rangle = 0, \quad \langle e_i, e_k \rangle + \langle e_j, e_l \rangle = 0, \quad \langle e_i, e_l \rangle + \langle e_j, e_k \rangle = 0. \quad (15)$$

In the particular case  $n = 4$ , we conclude again that  $\text{rk}(h\gamma_4) = \frac{4(4+1)}{2} - 3 = 7$ .

We show that, for  $n \geq 5$ , the orthogonality conditions are equivalent to mutual orthogonality of all vectors  $e_i, i \in N$ . To this end, it is sufficient to consider even subsets of cardinality two and use equation (15) for each quadruple  $\{i, j, k, l\} \subseteq N$ . Considering arbitrary subsets of  $N$  of cardinality 4, we obtain that, for  $n \geq 5$ , the system of equalities (15) for all quadruples has the following unique solution

$$\langle e_i, e_j \rangle = 0, \quad 1 \leq i < j \leq n, \text{ for } n \geq 5.$$

So, all the basic vectors are mutually orthogonal. Obviously, the orthogonality of basic vectors implies the orthogonality conditions. Hence, the only independent parameters are the  $n$  parameters  $\|e_i\|^2, i \in N$ . This implies that

$$\text{rk}(h\gamma_n) = n \text{ if } n \geq 5.$$

Note that we use a basis of  $h\gamma_n$ , which is not a basis of the lattice generated by  $h\gamma_n$ . But the spaces  $\mathcal{B}(P)$  have the same dimension for all bases. See another proof in [4].

## 7 A non-basic repartitioning Delaunay polytope

The example  $P_0$  given in this section is 12 dimensional; its 14 vertices belong to two disjoint sets of vertices of regular simplexes  $\Sigma_i^2$ ,  $i = 1, 2$ , of dimension 2, and two disjoint sets of vertices of regular simplexes  $\Sigma_i^3$ ,  $i = 1, 2$ , of dimension 3.

Let  $V(\Sigma_i^q)$  be the vertex-set of the four simplex  $\Sigma_i^q$ ,  $i = 1, 2$ ,  $q = 2, 3$ . Then  $V = \cup V(\Sigma_i^q)$  is the vertex-set of  $P_0$ . The distances between the vertices of  $P_0$  are as follows

$$d(u, v) = \begin{cases} 7 & \text{if } u, v \in \Sigma_i^q, & i = 1, 2, & q = 2, 3; \\ 6 & \text{if } u \in \Sigma_i^2, & v \in \Sigma_i^3, & i = 1, 2; \\ 10 & \text{if } u \in \Sigma_1^2, & v \in \Sigma_2^2; \\ 12 & \text{if } u \in \Sigma_1^3, v \in \Sigma_2^3 \text{ or } u \in \Sigma_1^2, v \in \Sigma_2^3, \text{ or } u \in \Sigma_2^2, v \in \Sigma_1^3. \end{cases}$$

We show that, for every  $u \in V$ , the set  $V - \{u\}$  is an  $\mathbb{R}$ -affine basis of  $P_0$ . In fact, let  $V - \{u\} = \{v_i : 0 \leq i \leq 12\}$  and let  $a_i = v_i - v_0$ ,  $1 \leq i \leq 12$ . For the Gram matrix  $a_{ij} = \langle a_i, a_j \rangle$ , we have  $a_{ii} = \|a_i\|^2 = \|v_i - v_0\|^2 = d(v_i, v_0)$ . The relations between  $a_{ij}$  and  $d(v_i, v_j)$  are  $a_{ij} = \frac{1}{2}(d(v_i, v_0) + d(v_j, v_0) - d(v_i, v_j))$ . Now, one can verify that the Gram matrix  $(a_{ij})$  is not singular. Hence,  $\{a_i : 1 \leq i \leq n\}$  is a basis, i.e. the dimension of  $P_0$  is, in fact, 12.

The space  $Y(P_0)$  of affine dependencies on vertices of  $P_0$  is one-dimensional. For  $v \in V$ , let

$$y(v) = \begin{cases} 3 & \text{if } v \in \Sigma_1^2, \\ -3 & \text{if } v \in \Sigma_2^2, \\ 2 & \text{if } v \in \Sigma_2^3, \\ -2 & \text{if } v \in \Sigma_1^3. \end{cases}$$

Obviously,  $\sum_{v \in V} y(v) = 0$ . It is easy to verify that for any  $u \in V$  the following equality holds

$$\sum_{v \in V} y(v) d(u, v) = 0. \quad (16)$$

Let  $S(c, r)$  be the sphere circumscribing  $P_0$ . Then  $\|v - c\|^2 = r^2$  for all  $v \in V$ . We have  $d(u, v) = \|u - v\|^2 = \|(u - c) - (v - c)\|^2 = 2(r^2 - \langle u - c, v - c \rangle)$ . Since  $\sum_{v \in V} y(v) = 0$ , the equality (16) takes the form

$$\langle u - c, \sum_{v \in V} y(v)(v - c) \rangle = 0, \text{ i.e., } \langle u - c, \sum_{v \in V} y(v)(v - c) \rangle = \langle u - c, \sum_{v \in V} y(v)v \rangle = 0.$$

Since this equality holds for all  $u \in V$ , and  $\{u - c \mid u \in V\}$  span  $\mathbb{R}^{12}$ , we obtain  $\sum_{v \in V} y(v)v = 0$ , i.e.,  $y \in Y(P_0)$ . Since  $Y(P_0)$  is one dimensional and the coefficient of  $y$  have greatest common divisor 1, one has  $Y(P_0) = y\mathbb{Z}$ .

Using the basis  $\{a_i : 1 \leq i \leq 12\}$ , for non-basic  $a(w)$ , we obtain  $a(w) = -\frac{1}{y(w)} \sum_{i=1}^{12} y(v_i) a_i$ . Since there exist a  $i$  such that  $\frac{y(v_i)}{y(w)} \notin \mathbb{Z}$  for any choice of  $w \in V$ , the polytope  $P_0$  is not basic and the  $\mathbb{Q}$ -basis  $\{a_i : 1 \leq i \leq n\}$  is not a  $\mathbb{Z}$ -basis of any lattice  $L$  having  $P_0$  as a Delaunay polytope.

Remarking that we can put the vector  $y$  in equation (9), we obtain the following equation

$$-y(w) \sum_{i=1}^{12} y(v_i) \|a_i\|^2 = \left\| \sum_{i=1}^{12} y(v_i) a_i \right\|^2.$$

which implies that  $\text{rk}(P_0) = \text{rk}(V, d) = \frac{12 \times 13}{2} - 1 = 77$ .

It is useful to compare the above computation of  $\text{rk}(P)$  with the following computations using distances. Recall that  $\text{rk}(V(P_0), d)$  is equal to the dimension of the face of the hypermetric cone  $HYP(V(P_0)) = HYP(V)$ , where the distance  $d$  lies. The dimension of  $HYP(V)$  is  $N = \frac{|V|(|V|-1)}{2} = \frac{14 \cdot 13}{2} = 91$ .

As in Section 2, we obtain that, for every  $w \in V = V(P_0)$ , the equality (16) implies the following hypermetric equality

$$\sum_{v, v' \in V} y^w(v) y^w(v') d(v, v') = 0, \quad (17)$$

where  $y^w(v) = y(v) + \delta_w$ . It is easy to see that the 14 equalities (17) for 14 vertices  $w \in V$  are mutually independent. In fact, these 14 equalities are equivalent to the 14 equalities (16) for the 14 vertices  $u \in V$ . The two equations (16) corresponding to two vertices  $u, w \in V$  have only one common distance  $d(u, w)$ . The intersection of the corresponding 14 facets is a face of dimension  $91 - 14 = 77$ .

But, for every  $u \in V$ , the hypermetric space  $(V - \{u\}, d)$  has rank  $\frac{(|V - \{u\}|)(|V - \{u\}| - 1)}{2} = 78$ , which is greater than  $\text{rk}(V, d) = 77$ .

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